

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	John J. McKillip	Confirmation No.: 6502
Appln No.:	10/004,510	CERTIFICATE OF MAILING
Filed:	November 2, 2001	I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in
For:	METHOD AND APPARATUS FOR PRODUCING MULTIPLE DIE-CUT BUSINESS FORMS	an any along addressed to the Commissioner for
TC/AU:	2854	Attorney to Applicant(s)
Examiner:	Eickholt, Eugene H.	
Docket No.:	72412	) )
Customer No	o.: 22242	, )

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 CFR § 1.56, and in accordance with 37 CFR §§ 1.97 and 1.98 and MPEP § 2001.06(c) regarding information learned through litigation, Applicant brings the following information with respect to the above-identified application to the attention of the Examiner. This information arose from *Ameriprint Corp. v. Malessa Partners, LLC et al*, civil action no. 02 C 8110, in the Northern District of Illinois involving United States Patent Nos. 6,182,572 ("the '572 patent") and 6,389,971 ("the '971 patent").

	NON PATENT LITERATURE DOCUMENTS	<u>Pages</u>
1.	PLEADINGS AND TRANSCRIPTS  Chicago Tag & Label, Inc.'s Response to Malessa's  First Set of Interrogatories, March 31, 2003 (12 pages).	1, 11-21
2.	Chicago Tag & Label, Inc.'s Supplemental Response to Malessa's First Set of Interrogatories including exhibits, June 24, 2003 (13 pages).	1-6, 8-14
3.	Transcript of Patrick J. Quinlan's Deposition, August 27, 2003.	1, 14-52, 63-65
4.	Transcript of James Schulty's Deposition, June 6, 2003.	1, 59-107, 177- 181
5.	Transcript of Davis Steidinger's Deposition, June 19, 2003.	1, 32-63, 103- 104, 110-119, 181-185
6.	Transcript of Steve Kreuzer's Deposition, September 8, 2003.	1, 9-84, 90
7.	Transcript of David W. Paularena's Deposition, August 29, 2003.	1, 52-54, 75-76, 92

### NON PATENT LITERATURE DOCUMENTS

- 8. Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1 page).
- 9. Letter to P.J. Quilan from Natasha Stone on Webtron stationery dated February 2, 1998 (1 page) enclosing Machine Order and Contract dated February 2, 1998 (2 pages).
- 10. Drawing No. DP-1853 entitled "Flexo Press BX 1305" with handwritten markings and dated June 17, 1998 (1 sheet).
- 11. Letter on Aquaflex stationery to Mr. Quinlan from Daniel Presseault hand dated April 10, 1998 with hand drawing titled "Proposal for 'Preferred Customer Club'" (2 pages).
- 12. Drawing No. DP-1945-1 entitled "Flexo Press BX 1308(4)" dated July 29, 1998 with photographs attached (3 sheets).
- 13. Letter on Tamarack stationery from Gayle Harrop to a Jim Schulty dated February 14, 1998 enclosing document entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2 pages).
- 14. Document entitled Uarco General Requisition No. 36556 dated November 10, 1994 (1 page).

## NON PATENT LITERATURE DOCUMENTS

- 15. Document entitled "Interoffice Memo" from a George Zehner to a Don Sanders and dated March 3, 1995 (1 page).
- 16. Photograph of Uarco Press 391, as marked by Mr. Kreuzer during his deposition on September 8, 2003 (1 photo).
- 17. Document entitled "Interoffice Memo" from a Steve Kreuzer to a Van Kleinman dated November 2, 1995 (1 page).

The above documents are listed on Form PTO/SB/08 which accompanies this Information Disclosure Statement. A copy of each reference is enclosed herewith.

The above information relates to allegations of invalidity of the '971 and '572 patents, such as invalidity based on prior offers for sale and prior public use. The lawsuit has been dismissed by the parties. Portions of the transcripts have been redacted pursuant to a Protective Order. The following table identifies the exhibits discussed in the depositions. Each of these exhibits is either submitted herewith or was previously submitted.

DEPOSITION

**IDENTIFICATION OF EXHIBIT** 

Ехнівіт

Quinlan Dep. Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1

Ex. 126. page).

DEPOSITION
Ехнівіт
Quinlan Dep.

### **IDENTIFICATION OF EXHIBIT**

Letter on Aquaflex stationary to a Mr. Quinlan dated June 24,

Ex. 127. 1997 (2 pages).

Quinlan Dep. Document entitled "Tamarack Affixing Equipment integrated with an Aquaflex press for GenForms" dated August 1, 1997, and letters dated July 02, 1997, July 10, 1997, August 1, 1997 and September 3, 1997 (6 pages).

Quinlan Dep. Drawing No. DP-1853 entitled "Flexo Press BX 1305" with Ex. 130. handwritten markings and dated June 17, 1998 (1 sheet).

Quinlan Dep. Letter on Aquaflex stationery to Mr. Quinlan from Daniel

Ex. 131. Presseault hand dated April 10, 1998 with hand drawing titled

"Proposal for 'Preferred Customer Club'" (2 pages).

Quinlan Dep. Document entitled "GenForms Purchase Order" and dated Ex. 132. February 4, 1998 (1 page).

Schulty Dep. Drawing No. DP-1945-1 entitled "Flexo Press BX 1308(4)" dated Ex. 65. July 29, 1998 with photographs attached (3 sheets).

Deposition Exhibit	IDENTIFICATION OF EXHIBIT
Schulty Dep.	Letter on Tamarack stationery from a Gayle Harrop to a Jim
Ex. 67 (several	Schulty dated March 10, 1998 (1 page) enclosing document
documents	entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2
included).	pages).
Schulty Dep.	Letter on Tamarack stationery from Gayle Harrop to a Jim
Ex. 67.	Schulty dated February 14, 1998 enclosing document entitled
	"Tamarack Affixing Equipment" dated March 9, 1998 (2 pages).
Schulty Dep.	Letter on Tamarack stationery from a Gayle Harrop to a Jim
Ex. 68.	Schulty dated March 10, 1998 (1 page) enclosing document
	entitled "Tamarack Affixing Equipment" dated March 9, 1998 (2
	pages).
Schulty Dep.	Letter on Tamarack stationary from a Gayle Harrop to a Jim
Ex. 69.	Schulty dated February 6, 1998 (2 pages) enclosing document
LA. 07.	entitled "Tamarack Finishing Equipment" dated February 6, 1998
	(4 pages).
	(1 pages).
Steidinger	Drawing No. 930928, entitled "Tamarack Label Application In-
Dep. Ex. 19	Line Installation With Hamilton 28"D. Roll 20" Web Collator for
(several	General Business Forms" and dated September 27, 1993 (1 sheet).
documents	
included).	

Ex. 59.

Deposition Exhibit	IDENTIFICATION OF EXHIBIT
Steidinger	Document entitled "General Business Forms" and dated October
Dep. Ex. 19.	29, 1993 (3 pages).
Steidinger	Document entitled "Tamarack Products Inc. Invoice No. 940921"
Dep. Ex. 19.	and dated September 21, 1994 (3 pages).
Steidinger	Drawing No. 921014, entitled "Hamilton Jumbo/Stencil-Label
Dep. Ex. 20.	Applicator" and dated October 14, 1992 (1 sheet).
Steidinger	Tamarack Brochure having a footer reading "Print '97
Dep. Ex. 21.	McCormick Place, Chicago" (2 pages).
Steidinger	Document entitled "Bielomatik - Web-Finishing Machine" (pp.
Dep. Ex. 92.	A656-667).
Steidinger	Photograph of Uarco Press 391 no date known (1 photo).
Dep. Ex. 98.	
Kreuzer Dep.	Document entitled Uarco General Requisition No. 36556 dated
Ex. 58.	November 10, 1994 (1 page).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a

George Zehner and dated December 27, 1994 (1 page).

Dep. Ex. 127.

Deposition Exhibit	Identification of Exhibit
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a
Ex. 60.	Don Sanders and dated February 3, 1995 (1 page).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a
Ex. 61.	George Zehner and dated March 7, 1995 (3 pages).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a George Zehner to
Ex. 62.	a Don Sanders and dated March 3, 1995 (1 page).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a
Ex. 63.	George Zehner and dated March 20, 1995 (2 pages).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a
Ex. 64.	Tony Gallagher dated August 2, 1996 (2 pages).
Kreuzer Dep.	Photograph of Uarco Press 391, as marked by Mr. Kreuzer during
Ex. 67.	his deposition on September 8, 2003 (1 photo).
Kreuzer Dep.	Document entitled "Interoffice Memo" from a Steve Kreuzer to a
Ex. 68.	Van Kleinman dated November 2, 1995 (1 page).
Paulareno	Same as Qunilan Dep. Ex. 127.

PATENT APPLICATION Attorney Docket 72412

Appln No. 10/004,510 Filed November 2, 2001

DEPOSITION

**IDENTIFICATION OF EXHIBIT** 

Ехнівіт

Paulareno

Same as Qunilan Dep. Ex. 128.

Dep. Ex. 128.

Paulareno

Same as Qunilan Dep. Ex. 129.

Dep. Ex. 129.

Paulareno

Same as Qunilan Dep. Ex. 132.

Dep. Ex. 132.

The Applicant expressly files this Information Disclosure Statement pursuant to 37 C.F.R. §1.97(h), and therefore, the submission of this information is not to be construed as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this communication or credit any overpayment to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Date: January 6, 2004

Jon A. Birmingham Registration No. 51,222

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Facsimile: (312) 577-7007

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PTO/SB/08A Substitute for Form PTO-1449

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2

Application Number	10/004,510
Filing Date	November 2, 2001
First Named Inventor	McKillip
Art Unit	2854
Examiner Name	Eickholt, Eugene H.
Attorney Docket	72412

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Chicago Tag & Label, Inc.'s Response to Malessa's First Set of Interrogatories, March 31, 2003 (12 pages). Pages 1, 11-21.	
		Chicago Tag & Label, Inc.'s Supplemental Response to Malessa's First Set of Interrogatories, including exhibits, June 24, 2003 (13 pages). Pages 1-6, 8-14.	
		Transcript of Patrick J. Quinlan's Deposition, August 27, 2003 (11 pages). Pages 1, 14-52, 63-65.	
		Transcript of James Schulty's Deposition, June 6, 2003 (16 pages). Pages 1, 59-107, 177-181.	
		Transcript of Davis Steidinger's Deposition, June 19, 2003 (14 pages). Pages 1, 32-63, 103-104, 110-119, 181-185.	
		Transcript of Steve Kreuzer's Deposition, September 8, 2003 (21 pages). Pages 1, 9-84, 90.	
		Transcript of David W. Paularena's Deposition, August 29, 2003 (5 pages). Pages 1, 52-54, 75-76, 92.	
		Fax from P.J. Quinlan to Dave Paularena dated May 24, 1997 (1 page).	
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Examiner	Date	
Signature	Considered	_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

	<b>V</b>	<b>.</b>	~			
PTO/SB/08B Substitute for Form PTO-1449		Application Number	10/215,123			
		Filing Date	August 8, 2002			
INFORMATION DISCLOSURE				First Named Inventor	McKillip	
STATEMENT BY APPLICANT (use as many sheets as necessary)			PLICANT	Art Unit	3611	
			cessary)	Examiner Name	Hoge, Gary Chapman	
Sheet	2	of	2	Attorney Docket	74789	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
_		Document entitled Uarco General Requisition No. 36556 dated November 10, 1994 (1 page).	
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Examine Signature		Date Considered	

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